

## National Institute of Technology Karnataka, Surathkal

### Institutional Governance Review

A self review of the Institutional governance has been conducted at NITK and the report of the same is enclosed. The report has been prepared in line with the **Annexure 4** (Institutional Governance Review Template) of **TEQIP Good Practice Guide for Governing Bodies, December 2012**.

The following scale has been used for the assessment (as given in **TEQIP Good Practice Guide for Governing Bodies, December 2012**) .

#### Assessment scale and descriptors

The Institutional Governance Review Template is a tool based on the TEQIP Good Practice Guide for Governing Bodies to assist institutions as they carry out their self-reviews. Institutions may choose to use this, or other tools to review their governance practice.	
Assessment Scale	Details
1	Clear evidence of very good practice in the quality and standards achieved (Assessment identifies clear supporting evidence for at least 75% of the relevant practices set out in the good practice guide for Governing Bodies)
2	Some evidence of very good practice in the quality and standards achieved (Assessment identifies clear supporting evidence for at least 50% of the relevant practices set out in the good practice guide for Governing Bodies)
3	Not in place (Institutions may specify the expected date of completion if there are concrete plans in place for implementation. Also, specify if there are any practices in the Good practices in the Good Practice Guide for Governing Bodies not yet relevant to your institution, or which are the responsibility of some other body. It is anticipated that these would be few in number.)

## NITK GOVERNANCE REVIEW

<b>A: PRIMARY ACCOUNTABILITIES</b>			
<b>PRACTISE</b>	<b>SELF REVIEW</b>	<b>SUPPORTING EVIDENCE</b>	<b>DEVELOPMENT PLAN</b>
Has the Governing Body approved the institutional strategic vision, mission and plan-identifying a clear development path for the institution through its long term business plans and annual budgets?	<p>Yes <b>Assessment Scale = 1</b></p> <p>Draft was placed before the BOG in 19th BOG meeting (29-03-2009) After the revision and approval from the senate, it was approved in 23rd BOG meeting held on 15-03-2010.</p>	<ul style="list-style-type: none"> <li>• A copy of the NITK strategic plan is available with all the Deans and Heads of Department.</li> <li>• Strategic plan is also available on the NITK website.</li> </ul>	<ul style="list-style-type: none"> <li>• Regular monitoring of the progress as proposed in the strategic plan document is carried out by the Director during the meeting of Deans/HoDs and reported to BOG.</li> </ul>
Has the Governing Body ensures the establishment and monitoring of proper, effective and efficient systems of control and accountability to ensure financial sustainability (including financial and operational controls, risk assessment and management, clear procedures for managing physical and human resources.)	<p>Yes <b>Assessment Scale = 1</b></p> <p>Governing body discusses and approves the annual budget every year.</p> <p>The institute does not face financial / procurement risks because it receives plan and non-plan grants from the Govt. of India.</p>	<ul style="list-style-type: none"> <li>• Minutes of the BOG and Finance Committee (FC), B&amp;W Committee are available on the NITK website</li> </ul>	<ul style="list-style-type: none"> <li>• The institute has taken several steps to strengthen Internal Revenue Generation (IRG) by encouraging faculty members to take up Consultancy assignments with industry and Sponsored Research/Infrastructure development projects from funding agencies.</li> </ul>
Is the Governing Body monitoring institutional performance and quality assurance arrangements? Are these benchmarked against	<p>Yes <b>Assessment Scale = 1</b></p> <p>The institution is ranked by</p>	<ul style="list-style-type: none"> <li>• Copy of the accreditation award by the NBA is available on the NITK website.</li> </ul>	<ul style="list-style-type: none"> <li>• All 9 UG programs have been accredited under Tier – I by NBA with the maximum validity of 5 years.</li> </ul>

<p>other institutions (including accreditations, and alignment with national and international quality assurance systems) to show that they are broadly keeping pace with the institution they would regard as their peers and competitors to ensure and enhance institutional reputation?</p>	<p>various agencies like India Today, Week and Outlook All programmes are accredited by National Board of Accreditation (NBA).</p>		<ul style="list-style-type: none"> <li>• Hence all Bachelor programs are equivalent to that of Washington Accord signatories until 2019.</li> <li>• Fresh Applications for NBA accreditation along with Self Assessment Reports (SAR) for 18 PG programs have been uploaded to the NBA Website. Waiting for visit of the Assessment Team.</li> </ul>
<p>Has the Governing Body put in place suitable arrangements for monitoring the head of the institution's performance?</p>	<p>Yes <b>Assessment Scale = 2</b></p>	<ul style="list-style-type: none"> <li>• The performance is monitored through feedback from Alumni and other Board members periodically.</li> <li>• Feedback is periodically obtained from students through exit surveys at the time of the completion of the course work.</li> </ul>	

<b>B: OPENNESS &amp; TRANSPARENCY IN THE OPERATION OF GOVERNING BODIES</b>			
<b>PRACTISE</b>	<b>SELF REVIEW</b>	<b>SUPPORTING EVIDENCE</b>	<b>DEVELOPMENT PLAN</b>
Does the Governing Body publish an annual report on institutional performance?	Yes <b>Assessment Scale = 1</b>  Annual report listing the performance of the institute is published. This contains information about Student Admission, R&D Projects sanctioned, Testing & Consultancy activities, Research Papers Published, Audited balance sheet etc.	<ul style="list-style-type: none"> <li>Copies of the annual reports are available in the institute.</li> </ul>	<ul style="list-style-type: none"> <li>N/A</li> </ul>
Does the Governing Body maintain, and publicly disclose a register of interest of members of its governing body?	No <b>Assessment Scale = 2</b>	<ul style="list-style-type: none"> <li>As a practice in India, the register of interest is not maintained.</li> </ul>	It is proposed to obtain the Register of Interest signed by each BOG member in the next Board meeting.
Is the Governing Body conducted in an open manner, and does it provide as much information as possible to students, faculty, the general public and potential employers on all aspects of institutional activity related to academic performance, finance and management?	Yes <b>Assessment Scale = 1</b>  Proceedings of the BOG meetings are uploaded on the institute website. A hard copy of the minutes is also kept in the institute library	<ul style="list-style-type: none"> <li>Proceedings of the BOG meetings are uploaded on the institute website. A hard copy of the minutes is also kept in the institute library</li> </ul>	

<b>C: KEY ATTRIBUTES OF GOVERNING BODIES</b>			
<b>PRACTISE</b>	<b>SELF REVIEW</b>	<b>SUPPORTING EVIDENCE</b>	<b>DEVELOPMENT PLAN</b>
<p>Are the size, skills, competences and experiences of the Governing Body such that it is able to carry out its primary accountabilities effectively and efficiently, and ensure the confidence of its stakeholders and constituents?</p>	<p>Yes. <b>Assessment Scale = 1</b></p> <p>The governing body comprises of members with administrative, financial and techno-commercial backgrounds. The current chairperson of the governing body is a Managing Director of IBM (India). In addition, it has representation from the MHRD, state government and NIT Senate.</p>	<ul style="list-style-type: none"> <li>Profile of the governing body members is available on the institute website</li> </ul>	<p>N/A (The board members are nominated by the MHRD)</p>
<p>Are the recruitment processes and procedures for governing body members rigorous and transparent?</p> <p>Does the Governing Body have actively involved independent members and is the institution free from direct political interference to</p>	<p>Yes <b>Assessment Scale = 1</b></p> <p>The board members are nominated by the MHRD</p> <p>Yes. There is no political interference.</p>		<p>N/A</p>

ensure academic freedom and focus on long-term educational objectives?			
Are the role and responsibilities of the Chair of the Governing Body, the Head of the Institution and the Member Secretary serving the governing body clearly stated?	Yes <b>Assessment Scale = 1</b>  As per the statutes of the NIT act , 2007	<ul style="list-style-type: none"> <li>• A copy of the statutes and NIT act are available on the institute website.</li> </ul>	The Act defines clearly the role of chairperson and Director.
Does the Governing Body meet regularly? Is there clear evidence that members of the governing body attend regularly and participate actively?	Yes. <b>Assessment Scale = 1</b>	<ul style="list-style-type: none"> <li>• Minutes of BOG meeting are uploaded on the institute website.</li> </ul>	---

<b>D: EFFECTIVENESS AND PERFORMANCE REVIEW OF GOVERNING BODIES</b>			
<b>PRACTISE</b>	<b>SELF REVIEW</b>	<b>SUPPORTING EVIDENCE</b>	<b>DEVELOPMENT PLAN</b>
Does the Governing Body keep their effectiveness under regular review and in reviewing it's performance, reflect on the performance of the institution as a whole in meeting its long-term strategic objectives and its short-term indicators of performance/success?	Yes <b>Assessment Scale = 2</b>  The implementation of the strategic plan is regularly monitored.		
Does the Governing Body ensure that new members are properly inducted, and existing members receive opportunities for further development as deemed necessary?	N/A Governing body members are nominated by the MHRD.	N/A	N/A

<b>E :REGULATORY COMPLIANCE</b>			
<b>PRACTISE</b>	<b>SELF REVIEW</b>	<b>SUPPORTING EVIDENCE</b>	<b>DEVELOPMENT PLAN</b>
<p>Does the Governing Body ensure regulatory compliance and subject to this, take all final decisions on matters of fundamental concern to the institution.</p> <p>Does the regulatory compliance include demonstrating compliance with the 'not-for-profit' purpose of education institutions?</p> <p>Has there been accreditation and /or external quality assurance by a national or professional body? If so, give details: name, status of current accreditation etc.</p>	<p>Yes <b>Assessment Scale = 1</b></p> <p>All decisions are taken in compliance to the statutes of NIT act 2007</p> <p>N/A (as NITs are Institutions of National Importance established by an act of parliament.)</p> <p>Yes. All 9 UG programmes have been accredited under Tier-I by NBA. The self assessment reports (SAR) for 18 PG programmes have been uploaded. The Institute is awaiting the visit of the assessment teams.</p>	<ul style="list-style-type: none"> <li>• Copy of statutes of NIT act 2007 is given</li> <li>• All educational programmes of the institute are approved by the MHRD.</li> <li>• NITs are autonomous institutions under the MHRD.</li> <li>• The fee structure is governed by the fee committee appointed by the Central Government.</li> <li>• All admissions are governed by the MHRD.</li> <li>• All recruitments are governed by the recruitment rules notified by the MHRD.</li> </ul>	<ul style="list-style-type: none"> <li>•</li> </ul>

**BOG Members:**

- 1. Ms. Vanitha Narayanan (Chairperson)**
- 2. Additional secretary (MHRD)**
- 3. Joint secretary (MHRD)**
- 4. Dr. Badekai Ramachandra Bhat (Professor & HOD, Department of Chemistry)**
- 5. Mr. K Vinaya Kumar (Associate Professor, Department of CSE)**
- 6. Prof. Swapan Bhattacharya (Director, NITK)**
- 7. Shri K.Ravindranath (Registrar, NITK) (Secretary)**